

U.S. PTO  
09709772  
11/10/2000

11/13/00  
**CONTINUING PATENT APPLICATION TRANSMITTAL**  
(for Continuing Applications  
under 37 C.F.R. §1.53(b))

Attorney Docket No. 11748/9

U.S. PTO  
09709772  
11/10/2000

Total Pages:  
First Named Inventor or  
Application Identifier: Gladwin

Box PATENT APPLICATION  
Commissioner of Patents and Trademarks  
ATTENTION: Assistant Commissioner  
for Patents  
Washington, D.C. 20231

Sir:

This is a request under 37 C.F.R.  
§1.53(b) for filing a:

- ( ) Continuation application,  
( ) Divisional application,  
(X) Continuation-in-Part application,

of pending prior application number 09/649,981

filed on August 29, 2000 of Stephen Christopher Gladwin, Depeng Bi, Jeffrey Jonathan Spurgat and  
(Date) (Inventor(s))

Michael Cortopassi

for Structure and Method for Selecting, Controlling and Sending Internet-Based or Local Digital Audio  
to an AM/FM Radio or Analog Amplifier

(Title)

- ) CERTIFICATE OF MAILING BY "EXPRESS MAIL"  
) "Express Mail" Mailing Label Number  
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) I hereby certify that this paper or fee is being  
deposited with the United States Postal Service  
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under 37 CFR §1.10 on the date indicated above and  
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Trademarks, Washington, D.C. 20231.  
) Molly M. Michaels  
) (Typed or printed name of person mailing)  
) Molly M. Michaels  
) (Signature of person mailing)  
)  
)  
)

1. ( ) This is a continuation or divisional application. Enclosed is a copy of the prior application as  
originally filed, including specification, claims, drawings, and oath or declaration.

- or -

- (X) Enclosed is a patent application (for continuation, divisional, or continuation-in-part  
applications) containing:

(X) 34 pages of the specification (including claims).

(X) 35 sheets of drawings ( ) Formal (X) Informal.

2. (X) Amend the specification by inserting before the first line the sentence: This is a [ ] continuation, [ ] division, [X] continuation-in-part, of prior application number 09/649,981, filed August 29, 2000, which is hereby incorporated herein by reference in its entirety. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under paragraph 3 below, is considered as being part of the disclosure of the accompanying application, and is hereby incorporated by reference therein.

3. ( ) A copy of the executed oath or declaration filed in the prior nonprovisional application is enclosed.

4. (X) Inventorship:

( ) A newly-executed oath or declaration and power of attorney is enclosed (for continuation-in-part applications, or for continuation or divisional applications naming an inventor not named in the prior application) (§1.63(a), (d)(5) and (e)).

( ) Because this application is being filed by fewer than all of the inventors named in the prior application, delete the following inventor(s) named in the prior nonprovisional application (37 C.F.R. §1.63(d)(1)(2)):

\_\_\_\_\_  
\_\_\_\_\_

(X) The names of persons believed to be the actual inventors are set forth in the enclosed unexecuted oath or declaration and power of attorney (§1.41(a) and §1.53(b)).

( ) Assignment(s) of the invention to \_\_\_\_\_, and cover sheet are enclosed.

( ) A check in the amount of \$\_\_\_\_\_ to cover the fee for recording the assignment(s) is enclosed.

6. ( ) The prior application is assigned of record to \_\_\_\_\_

7. (X) Small Entity Status is claimed under 37 C.F.R. §1.27.

8. ( ) A 37 C.F.R. §3.73(b) statement is enclosed (where an assignee seeks to take action in a matter before the Patent Office).

9. ( ) A preliminary amendment is enclosed.

10. (X) Drawings:

( ) Transfer the drawings from the prior application to this application and abandon said prior application as of the filing date accorded this application. A duplicate copy of this sheet is enclosed for filing in the prior application file. (May be used only if signed by person authorized by §1.138, and before payment of base issue fee.)

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☐ New formal drawings are enclosed.

☒ Informal drawings are enclosed.

11. ☐ A separate written request under 37 C.F.R. §1.136(a)(3), which is a general authorization to treat any concurrent or future reply requiring a petition for an extension of time under 37 C.F.R. §1.136(a) for its timely submission as incorporating a petition for an extension of time for the appropriate length of time, is enclosed.

12. ☐ An Information Disclosure Statement is enclosed.

☐ A Form PTO-1449 is enclosed.

☐ \_\_\_ References (copies) listed on the Form PTO-1449 are enclosed.

13. ☐ A MicroFiche Computer Program (Appendix) is enclosed.

14. ☒ A Return Receipt Postcard is enclosed (MPEP §503).

15. ☐ A Nucleotide and/or Amino Acid Sequence Submission is enclosed.

☐ A Computer Readable Copy is enclosed.

☐ A Paper Copy (Identical to Computer Copy) is enclosed.

☐ A Statement Verifying Identity of above Copies is enclosed.

16. ☐ Priority of application number \_\_\_\_\_ filed on \_\_\_\_\_ in \_\_\_\_\_ is claimed under 35 U.S.C. §119.

☐ The certified copy of the priority document has been filed in prior application number \_\_\_\_\_, filed \_\_\_\_\_.

☐ A certified copy of the priority document is enclosed.

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- (X) The power appears in the original papers in the prior application.

# KATTEN MUCHIN ZAVIS

Chicago, Illinois 60661-3693

( ) Since the power does not appear in the original papers in the prior application, a copy of the power in the prior application is enclosed.

- (X) The filing fee is calculated below:

|                      |                         |
|----------------------|-------------------------|
| <b>(a) Basic Fee</b> | <b>\$ <u>710.00</u></b> |
|----------------------|-------------------------|

## Claims

(d) Fee for Multiple Dependent Claims \$270.00 = \$\_\_\_\_\_

|  |                  |
|--|------------------|
| Reduction by 50% for Filing Small Entity | <u>\$ 355.00</u> |
|--|------------------|

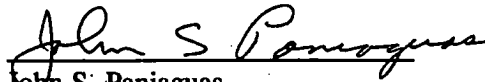
20. (X) A check in the amount of \$ 355.00 is enclosed.

21. (X) The Commissioner is hereby authorized to charge any fees which may be required under 37 C.F.R. §§1.16 and 1.17 and are not paid herewith, or credit any overpayment, to Deposit Account Number 50-1214. A duplicate copy of this request is enclosed.
22. ( ) Also enclosed:
23. (X) Address all future communications to:

John S. Paniaguas  
525 West Monroe Street, Suite 1600  
Chicago, Illinois 60661-3693  
Telephone: (312) 902-5312  
Facsimile: (312) 577-4532

11-10-00

(Date)



John S. Paniaguas

Registration No. 31,051

(X) Attorney or agent of record

( ) Filed under §1.34(a)

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